EXHIBIT A

Fourth Supplemental Declaration of Richard Heuser, M.D., F.A.C.C., F.A.C.P.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re applicati James P. Elía	on of:)	Group Art	Unit: 1647
Serial No.: 10)/179,589))	Examiner:	Daniel C. Gamett
Filed: June 2:	5, 2002	<i>)</i>		
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FOURTH SUPPLEMENTAL DECLARATION OF RICHARD HEUSER, M.D., F.A.C.C., F.A.C.P.

1 Richard Heuser declare as follows:

- 1. I have offices at 555 N. 18th Street, Suite 300, Phoenix, Arizona 85006.
- My Curriculum Vitae was attached as Exhibit A to my Declaration of November 16, 2004. Paragraph 3 of my Declaration and my Supplemental Declaration of February 15, 2005 provide additional information regarding my background and experience.
- 3. I have read and understood the disclosures of the above-referenced patent application Serial No. 10/179,589 at page 4, line 1 through page 5, line 14; at page 13, lines 3-10; at page 22, line 5 through page 24, line 15; and at page 26, line 3 through page 27, line 3. A copy of such disclosures was attached as Exhibit B to my Third Supplemental Declaration dated April 20, 2007. It is my understanding that the same disclosure is found in co-pending patent application. Serial No. 11/986,690, It is my further understanding that the same disclosures mentioned above are found at different pages and line numbers in the

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specifications of co-pending patent application Serial Nos. 09/794,456; 09/836,750; 09/064,000; and 11/891,456.

I have also read and understood additional disclosures of the above-referenced patent application Serial No. 10/179,589 at page 9, lines 14-16; page 17, line 1 through page 20, line 8; page 21, lines 23 and 24; page 27, lines 1-3; page 28, lines 12-16; page 32, line 20 through page 39, line 19; and page 44, lines 8-17. A copy of such additional disclosures was attached as Exhibit C to my Third Supplemental Declaration dated April 20, 2007. It is my understanding that the same disclosure is found in co-pending patent application. Serial No.11/986,690. It is my further understanding that the same disclosures mentioned above are found at different pages and line numbers in the specifications of co-pending patent application. Serial Nos. 09/794,456; 09/836,750; 09/064,000; and 11/891,456.

- 4. I note that the disclosures referenced in above Paragraph 3 relate to using a growth factor for promoting the growth of soft tissue, and more specifically, to a method of using a cellular growth factor, such as a stem cell, to grow an artery and/or cardiac muscle.
- I have read and understood the claims set forth in the attached Fourth Supplemental Declaration Exhibit A and have been informed that such claims will be presented in the above-referenced patent application Serial No. 10/179,589.

I have read and understood the claims set forth in the attached Fourth Supplemental Declaration Exhibit B and have been informed that such claims are pending in co-pending application Serial No. 11/986,690.

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I have read and understood the claims set forth in the attached Fourth Supplemental Declaration Exhibit C and have been informed that such claims are pending in co-pending application Serial No. 09/794,456.

I have read and understood the claims set forth in the attached Fourth Supplemental Declaration Exhibit D and have been informed that such claims are pending in co-pending application Serial No. 09/836,750.

I have read and understood the claims set forth in the attached Fourth Supplemental Declaration Exhibit E and have been informed that such claims are pending in co-pending application Serial No. 09/064,000.

I have read and understood the claims set forth in the attached Fourth Supplemental Declaration Exhibit F and have been informed that such claims are pending in co-pending application Serial No. 11/891,456.

- 6. Based upon above Paragraphs 3-5, it is and remains my opinion that one skilled in the medical arts, armed with the direction and knowledge in such paragraphs, would be able to practice the method set forth in attached Exhibits A-F without need for resorting to undue experimentation.
- 7. I understand from reading the claims mentioned in above Paragraph 5 that implanting a composition which promotes artery growth is required and that artery growth requires the formation of multiple tissue layers comprising at least endothelial and smooth muscle cells. I also understand that it was commonly known at the time of the Elia invention, April 21, 1998, that bone marrow comprise stem cells that are pluripotent in that they are capable of forming multiple tissue types. I further understand that it was known that bone marrow contains CD34+ endothelial progenitor cells and that the medical art is aware that

such cells are unipotent and only differentiate into endothelial cells. When only CD34+ endothelial progenitor cells are transplanted into a human patient, it is not possible to cause artery formation because CD34+ endothelial progenitor cells do not differentiate into smooth muscle cells. In my opinion, it is not possible to cause artery formation by implanting only CD34+ endothelial progenitor cells into a human patient.

- I have read and understood the language "stem cells harvested from bone 8. marrow" as defined in the written disclosures above-mentioned patent applications and claims to encompass the entire population of bone marrow mononuclear cells and cellular components, including a range of cytokines, in contrast with any fractionated population of such cells. It is my understanding that as of circa the date of the Elia invention those skilled in the medical arts did not limit the scope of the term bone marrow stem cells to a subset of mononuclear cells composed of CD34+ endothelial progenitor cells. It is my opinion that one skilled in the medical arts reading the application at the time of filing, April 21, 1998, would have understood that the language was intended to describe a composition comprised of the entire population of bone marrow cellular components. To conclude otherwise, specifically in the absence of explicit direction to conduct a fractionation of cells, would require such a skilled person to ignore the decades of use of such language in the medical arts, particularly in regard to the practice of treating patients with bone marrow transplants.
- 9. Declarant states that the above opinion was reached independently.

Declarant understands that (1) any willful false statements and the like made herein are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize the validity of the application or any patent issuing thereon, and (2) that all statements made of Declarant's own

knowledge are true and that all statements made on information and belief are believed to be true.

Further Declarant sayeth not.

Richard Heuser

EXHIBIT A

<u>CLAIMS</u>

Claim 161	The method of claim 174, wherein said stem cell comprises a living stem cell harvested from bone marrow.
Claim 162	The method of claim 161, wherein said bone marrow is from said patient.
Claim 163	The method of claim 174, wherein said stem cell comprises a living stem cell harvested from blood.
Claim 164	The method of claim 163, wherein said blood is from said patient.
Claim 165	The method of claim 174, wherein said stem cell is obtained from cell culture techniques.
Claim 166	The method of claim 174, wherein said stem cell is placed into soft tissue in said body.
Claim 167	The method of claim 166, wherein said stem cell is injected into said soft tissue.
Claím 168	The method of claim 161, wherein said stem cell is placed into soft tissue in said body.
Claim 169	The method of claim 168, wherein said stem cell is injected into said soft tissue.
Claim 170	The method of claim 174, wherein said stem cell comprises a pluripotent stem cell.

Claim 171	The method of claim 170, wherein said pluripotent stem cell
	is placed in a leg of said patient by injection.
Claim 172	The method of claim 174 further comprising determining
	blood flow through said artery.
Claim 173	The method of claim 174 further comprising observing said
	artery.
Claim 174	A method of growing and integrating a desired artery at a
	selected site in a body of a human patient comprising the
	steps of locally placing a stem cell in a body of a human
	patient and growing said desired artery which integrates
	itself into said body at said selected site.
Claim 175	The method of claim 174, wherein said desired artery is
	grown around a blocked leg artery to bypass said blocked
	leg artery.
Claim 176	The method of claim 175, wherein said desired artery is
	grown around a blocked leg artery to bypass said blocked
	leg artery.
Claim 177	The method of claim 175, wherein said cell is placed into a
	leg anery.
Claim 178	The method of claim 176, wherein said cell is injected into a
	leg artery.

Claim 179	The method of claim 174, wherein said desired artery is
	grown around an at least partially blocked coronary artery to
	bypass said at least partially blocked coronary artery.
Claim 180	The method of claim 175, wherein said desired artery is
	grown around an at least partially blocked coronary artery to
	bypass said at least partially blocked coronary artery.
Claim 181	The method of claim 174, wherein said cell is placed into
	cardiac muscle of said human patient.
Claim 182	The method of claim 175, wherein said cell is injected into
	cardiac muscle of said human patient.
Claim 183	The method of claim 174, wherein said cell is placed into a
	partially blocked coronary artery of said human patient.
Claim 184	The method of claim 175, wherein said cell is injected into a
	partially blocked coronary artery of said human patient.
Claim 185	A method of growing and integrating a desired artery at a
	leg of the body of a human patient comprising the steps of
	injecting a pluripotent stem cell into a wall of an at least
	partially blocked leg artery and growing an artery which
	integrates itself into said body at the site of injection and
	bypasses said at least partially blocked artery.

Claim 186

A method of growing and integrating a desired artery at a

heart of the body of a human patient comprising the steps of

injecting a pluripotent stem cell into a wall of an at least

partially blocked coronary artery and growing an artery

which integrates itself into said body at the site of injection

and bypasses said at least partially blocked artery.

A method of growing and integrating a desired artery at a

heart of the body of a human patient comprising the steps of

injecting a pluripotent stem cell into cardiac muscle and

growing an artery which integrates itself into said body at

the site of injection.

Claim 187

EXHIBIT B

Claims in co-pending application Serial No. 11/986,690

CLAIMS

Claim 6	A method of growing and integrating a desired artery at a
	selected site in a body of a human patient comprising the
	steps of locally placing a cell in a body of a human patient
	and growing said desired artery which integrates itself into
	said body at said selected site.
Claim 7	The method of claim 6, wherein said cell is placed into soft
	tissue in said body.
Claim 8	The method of claim 7, wherein said cell is injected into
	said soft tissue.
Claim 9	The method of claim 6, wherein said cell comprises a
	pluripotent stem cell.
Claim 10	The method of claim 9, wherein said pluripotent stem cell is
	placed in a leg of said patient by injection.
Claim II	The method of claim 6 further comprising determining
Crain 1)	blood flow through said artery.
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Claim 12	The method of claim 6 further comprising observing said
	artery.

Claim 13	The method of claim 7, wherein said desired artery is grown
	around a blocked leg artery to bypass said blocked leg
	artery.
Claim 14	The method of claim 8, wherein said desired artery is grown
	around a blocked leg artery to bypass said blocked leg
	artery.
Claim 15	The method of claim 13, wherein said cell is placed into a
	leg artery.
Claim 16	The method of claim 14, wherein said cell is injected into a
	leg artery.
Claim 17	The method of claim 7, wherein said desired artery is grown
	around an at least partially blocked coronary artery to
	bypass said at least partially blocked coronary artery.
Claim 18	The method of claim 8, wherein said desired artery is grown
	around an at least partially blocked coronary artery to
	bypass said at least partially blocked coronary artery.
Claim 19	The method of claim 7, wherein said cell is placed into
	cardiac muscle of said human patient.
Claim 20	The method of claim 8, wherein said cell is injected into
	cardiac muscle of said human patient.
Claim 21	The method of claim 7, wherein said cell is placed into a
	partially blocked coronary artery of said human patient.

Claim 22

The method of claim 8, wherein said cell is injected into a partially blocked coronary artery of said human patient.

Claim 23

A method of growing and integrating a desired artery at a leg of the body of a human patient comprising the steps of injecting a pluripotent stem cell into a wall of an at least partially blocked leg artery and growing an artery which integrates itself into said body at the site of injection and bypasses said at least partially blocked artery.

Claim 24

A method of growing and integrating a desired artery at a heart of the body of a human patient comprising the steps of injecting a pluripotent stem cell into a wall of an at least partially blocked coronary artery and growing an artery which integrates itself into said body at the site of injection and bypasses said at least partially blocked artery.

Claim 25

A method of growing and integrating a desired artery at a heart of the body of a human patient comprising the steps of injecting a pluripotent stem cell into cardiac muscle and growing an artery which integrates itself into said body at the site of injection.

Claim 26

The method of claim 6, wherein a gene is included with said cell.

Claim 27

The method of claim 26, wherein said cell contains a gene inserted in said cell.

EXHIBIT C

Claims in co-pending application Serial No. 09/794,456

<u>CLAIMS</u>

Claim 7	A method of repairing a dead portion of a pre-existing heart comprising
	the steps of: placing a growth factor at a selected area of a human
	patient; and forming a new artery thereby causing said dead portion of
	said heart to be repaired.
Claim 12	The method of claim 7, wherein said growth factor comprises a cell.
Claim 15	The method of claim 12, wherein said growth factor is placed in said
	patient by injection.
Claim 18	The method of claim 15, wherein said injection is intramuscular.
Claim 19	The method of claim 12, wherein said growth factor is placed in said
	patient by a carrier.
Claim 21	A method of repairing a damaged portion of a pre-existing heart
	comprising the steps of: placing a growth factor at a selected area of a
	human patient; and forming a new artery thereby causing said damaged
	portion of said heart to be repaired.
Claim 26	The method of claim 21, wherein said growth factor comprises a cell.
Claim 29	The method of claim 26, wherein said growth factor is placed in said
	patient by injection.
Claim 32	The method of claim 29, wherein said injection is intramuscular.

Claim 33 The method of claim 26, wherein said growth factor is placed in said patient by a carrier.

Claim 35 A method of repairing a dead portion of a preexisting heart comprising the steps of placing a living stem cell harvested from bone marrow at a selected area of a human patient and forming a new artery thereby causing said dead portion of said heart to be repaired.

Claim 36 The method of claim 35, wherein said living stem cell is placed in said patient by injection.

Claim 37 The method of claim 35, wherein said living stem cell is locally placed in said patient.

Claim 38 A method of repairing a damaged portion of a preexisting heart

comprising the steps of placing a living stem cell harvested from bone

marrow at a selected area of a human patient and forming a new artery

thereby causing said damaged portion of said heart to be repaired.

Claim 39 The method of claim 38, wherein said living stem cell is placed in said patient by injection.

Claim 40 The method of claim 38, wherein said living stem cell is locally placed in said patient.

Claim 41 The method of claim 7, wherein said growth factor comprises a cell and said cell is placed adjacent to said dead portion of said heart.

Claim 42 The method of claim 21, wherein said growth factor comprises a cell and said cell is placed adjacent to said damaged portion of said heart.

Claim 43	The method of claim 41, wherein said cell comprises a stem cell.
Claim 44	The method of claim 43, wherein said stem cell is injected into said
	heart.
Claim 45	The method of claim 42, wherein said cell comprises a stem cell.
Claim 46	The method of claim 45, wherein said stem cell is injected into said
	heart.
Claim 53	The method of claim 7 further comprising calculating blood flow
	through said newly formed artery.
Claim 54	The method of claim 7 further comprising observing said newly
	formed artery.
Claim 55	The method of claim 21 further comprising calculating blood flow
	through said newly formed artery.
Claim 56	The method of claim 21 further comprising observing said newly
	formed artery.
Claim 57	The method of claim 35, wherein said bone marrow stem cells are
	harvested from the patient and are placed into the heart of the patient
	by injecting said stem cells at a site adjacent said dead portion.
Claim 58	The method of claim 38, wherein said bone marrow stem cells are
	harvested from the patient and are placed into the heart of the patient
	by injecting said stem cells at a site adjacent said damaged portion.

EXHIBIT D

Claims in co-pending application Serial No. 09/836,750

<u>CLAIMS</u>

Claim 236	A method of growing a new portion of a pre-existing heart comprising
	the steps of placing a growth factor in a body of a human patient and
	growing new cardiac muscle and growing a new artery in said heart.
Claim 238	The method of claim 236, further comprising repairing a dead portion
	of said heart.
Claim 239	The method of claim 236, further comprising repairing a damaged
	portion of said heart.
Claim 244	The method of claim 236, wherein said growth factor comprises a cell.
Claim 247	The method of claim 236, wherein said growth factor is placed in said
	patient by injection.
Claim 250	The method of claim 247, wherein said injection is intramuscular.
Claim 251	The method of claim 236, wherein said growth factor is placed in said
	patient by a carrier.
Claim 253	The method of claim 236, wherein said growth factor comprises a gene
	and a cell.
Claim 257	The method of claim 236, wherein said growth factor is locally placed
	in said body.

Claim 258	The method of claim 238, wherein said growth factor is locally placed in said body.
Claim 259	The method of claim 239, wherein said growth factor is locally placed in said body.
Claim 260	The method of claim 244, wherein said growth factor is locally placed in said body.
Claim 261	The method of claim 236, wherein said growth factor comprises living stem cells harvested from bone marrow.
Claim 262	The method of claim 238, wherein said growth factor comprises living stem cells harvested from bone marrow.
Claim 263	The method of claim 239, wherein said growth factor comprises living stem cells harvested from bone marrow.
Claim 268	The method of claim 262, wherein said stem cell is placed in said patient by injection.
Claim 269	The method of claim 263, wherein said stem cell is placed in said patient by injection.
Claim 270	The method of claim 258, wherein said growth factor comprises a cell and said cell is placed adjacent to said dead portion of said heart.
Claim 271	The method of claim 259, wherein said growth factor comprises a cell and said cell is placed adjacent to said damaged portion of said heart.
Claim 280	The method of claim 236 further comprising calculating blood flow through said newly grown artery.

Claim 281	The method of claim 238 further comprising calculating blood flow
	through said newly grown artery.
Claim 282	The method of claim 239 further comprising calculating blood flow
	through said newly grown artery.
Claim 283	The method of claim 236 further comprising observing said newly
	grown artery.
Claim 284	The method of claim 238 further comprising observing said newly
	grown artery.
Claim 285	The method of claim 239 further comprising observing said newly
	grown artery.
Claim 288	The method of claim 261, wherein said stem cells are harvested from
	bone marrow of said patient and are placed into the heart of the patient
	by injection.
Claim 289	The method of claim 268, wherein said stem cells are harvested from
	the patient and are placed into the heart of the patient by injecting said
	stem cells at a site adjacent said dead portion.
Claim 290	The method of claim 269, wherein said stem cells are harvested from
	the patient and are placed into the heart of the patient by injecting said

stem cells at a site adjacent said damaged portion.

EXHIBIT E

Claims in co-pending application Serial No. 09/064,000

CLAIMS

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A method for growing and integrating tissue consisting of desired soft tissue at a selected site in a body of a human patient wherein said desired soft tissue comprises a desired artery comprising the steps of:

- (a) locally injecting stem cells into said body at said selected site;
- (b) forming a bud at said selected site; and
- (c) growing said desired artery from said bud wherein said artery integrates itself into said body of said human patient at said selected site.

Claim 404

The method of claim 403, wherein said selected site comprises a damaged site in a leg of said patient and said stem cells are injected intramuscularly.

Claim 405

The method of claim 403, wherein said selected site comprises a damaged site in a heart of said patient and said stem cells are injected intramuscularly.

Claim 407

The method of claim 403, wherein said stem cell comprises a living stem cell harvested from bone marrow.

Claim 408	The method of claim 407, wherein said bone marrow is
	from said patient.
Claim 409	The method of claim 403, wherein said stem cell
	comprises a living stem cell harvested from blood.
Claim 410	The method of claim 409, wherein said blood is from
	said patient.
Claim 411	The method of claim 403 further comprising determining
	blood flow through said desired artery.
Claim 412	The method of claim 403 further comprising observing
	said desired artery.

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EXHIBIT F

Claims in co-pending application Serial No. 11/891,456

CLAIMS

Claim 6	A method for producing and integrating tissue consisting of a desired soft
	tissue at a selected site in a body of a human patient comprising:
	(a) placing cells in said body of said human patient;
	(b) forming a bud at said selected site in said body of said human patient;
	and
	(c) growing said desired soft tissue which integrates itself into said body of
	said human patient from said bud.
Claim 7	The method of claim 6, wherein said cells are multifactorial and non-specific.
Claim 8	The method of claim 7, wherein said cells comprise stem cells.
Claim 9	The method of claim 6 further comprising forming a new artery.
Claim 10	The method of claim 7 further comprising forming a new artery.
Claim I I	The method of claim 6, wherein said soft tissue comprises mesodermal tissue.
Claim 12	The method of claim 6, wherein said soft tissue comprises an artery.
Claim 13	The method of claim 6, wherein said cells comprise stem cells.
Claim 14	The method of claim 13, wherein said soft tissue comprises an artery.
Claim 15	The method of claim 6, wherein said cells comprise pluripotent cells.

Claim 16	The method of claim 15, wherein said soft tissue comprises an artery.
Claim 17	The method of claim 15, wherein said cells comprise stem cells.
Claim 18	The method of claim 17, wherein said stem cells are multifactorial and non-
	specific.
Claim 19	The method of claim 6, wherein said cells are injected into said body.
Claim 20	The method of claim 6, wherein said cells are locally placed into said body.
Claim 21	The method of claim 20, wherein said cells comprise stem cells.
Claim 22	The method of claim 20, wherein said cells are injected intramuscularly.
Claim 23	The method of claim 21, wherein said stem cells are injected intramuscularly.
Claim 24	The method of claim 12 further comprising determining blood flow through
	said new artery.
Claim 25	The method of claim 12 further comprising observing said new artery.
Claim 26	The method of claim 23, wherein said selected site comprises a leg of said
	patient.
Claim 31	A method for growing and integrating tissue consisting of desired soft tissue at
	a selected site in a body of a human patient wherein said desired soft tissue
	comprises a desired artery comprising the steps of:
	(a) locally injecting stem cells into said body at said selected site;
	(b) forming a bud at said selected site; and
	(c) growing said desired artery from said bud wherein said artery integrates
	itself into said body of said human patient at said selected site.

Claim 32	The method of claim 31, wherein said selected site comprises a damaged site in
	a leg of said patient and said stem cells are injected intramuscularly.
Claim 33	The method of claim 31, wherein said selected site comprises a damaged site in
	a heart of said patient and said stem cells are injected intramuscularly.
Claim 34	The method of claim 31, wherein said stem cell comprises a living stem cell
	harvested from bone marrow.
Claim 35	The method of claim 34, wherein said bone marrow is from said patient.
Claim 36	The method of claim 31, wherein said stem cell comprises a living stem cell
	harvested from blood.
Claim 37	The method of claim 36, wherein said blood is from said patient.
Claim 38	The method of claim 31 further comprising determining blood flow through
	said desired artery.
Claim 39	The method of claim 31 further comprising observing said desired artery.